



Adult Social Care Select Committee
23 November 2010

Completed Internal Audit Reports

Purpose of the report: Scrutiny of Services

The purpose of this report is to inform Members of the Internal Audit reports relevant to the Adult Social Care Select Committee that have been completed during the period June – August 2010.

Introduction:

1. On 22 February 2010, the Audit & Governance Committee made a recommendation that a standing 'internal audit' item be put on all Select Committee agendas. On 21 September 2010 the Select Committee Chairmen's Group approved this approach, and this Select Committee agreed to consider all relevant final audits that have been given an audit opinion of either 'Major Improvement Needed' or "Unsatisfactory".

Internal Audit report process:

2. The return of a management action plan, which in the auditor's opinion adequately addresses the report findings and recommendations, signals the end of the audit process. Any follow up work required forms part of future audit plans at the appropriate time.
3. Attached as Annex 1 is a summary of the internal audit reports completed since the last Committee meeting. This annex shows the following for each audit:
 - title of the audit
 - background to the review
 - key findings
 - overall audit opinion
 - risks if no action is taken
 - recommendations for improvement
 - the status of the management action plan (MAP)
4. The following Internal Audit reports have been completed, and one has been marked as "Major Improvement Needed":

	Title of Internal Audit Report	Audit opinion (Status of MAP)*
1	SWIFT (Annex 2)	Major Improvement Needed (Agreed)
2	Community Equipment Service	Some Improvement Needed (Agreed)
3	Follow up of Domiciliary Care	N/A (original report completed in September 2009 – ‘adequate with some improvement needed’).

5. On 17 September 2010 the Change & Efficiency Select Committee reviewed the SWIFT audit report and management action plan in detail. The Committee papers included full copies of the report and officers responsible for implementing the recommendations attended the meeting to provide an update on progress made.

Recommendations:

6. That the Committee scrutinises the achievement taken on the management action plans and takes action as appropriate.

Next Steps:

7. That the Committee receives further updates on completed internal audit reports at future meetings, and continues to focus its attention on reports audit with the audit opinion of either “Major Improvement Needed” or “Unsatisfactory”.

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Sources/background papers:

- 2009/10 Review of the Effectiveness of the System of Internal Audit, Audit & Governance Committee, 22 February 2010
- Final audit reports and agreed management action plans
- Audit Outcomes: using internal audit reports and management action plans effectively